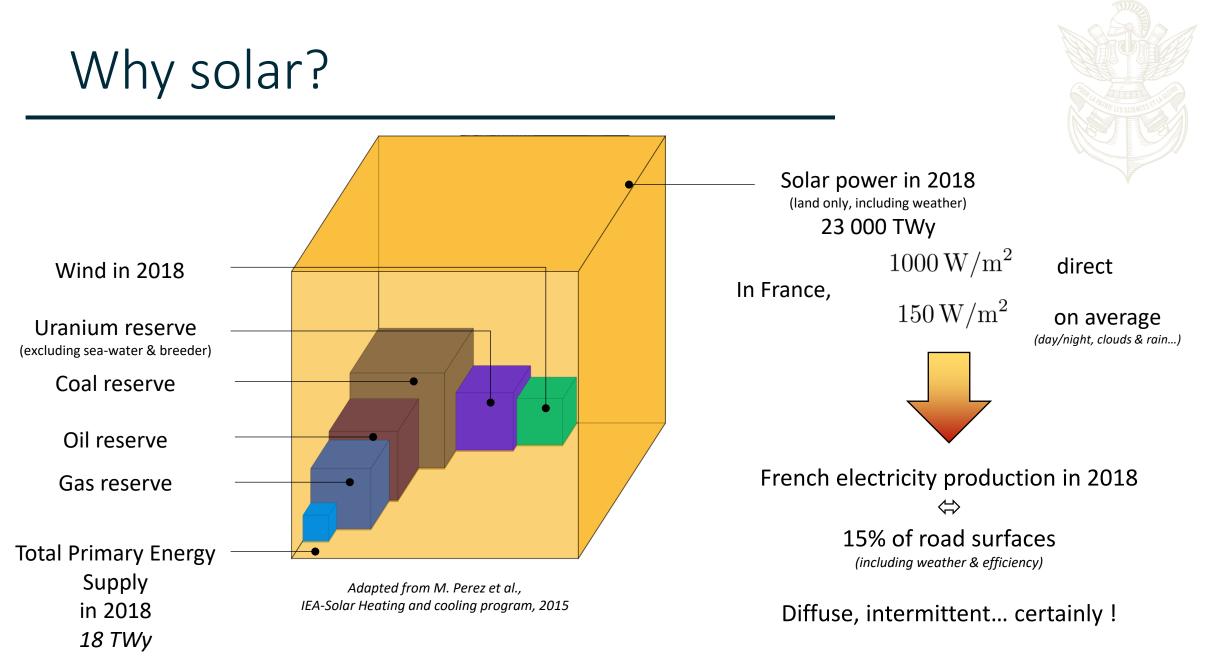
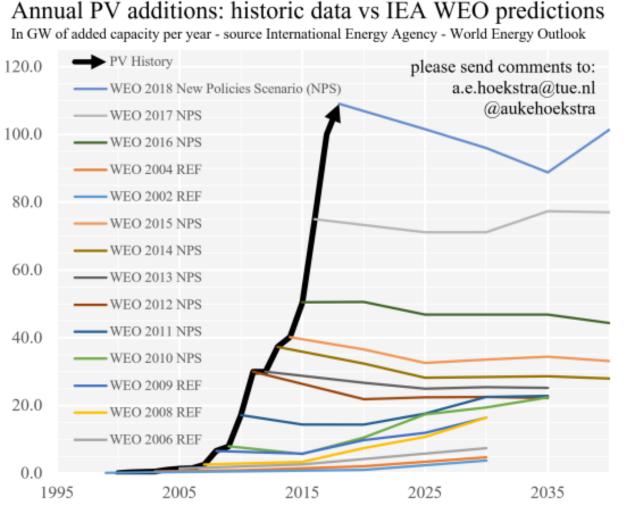
Lecture 8 Solar energy

PHY 555 – Energy & Environment Erik Johnson, Mathieu de Naurois, Daniel Suchet





The sky is the limit!





2020 : 140 GWp installed !

IEA PVPS Global Market Snapshot 2021

Installed / nominal / nameplate / rated capacity =

Maximal power when everything's ok

Actual production= Installed capacity x Load factor (PV 15%, wind 20%, thermal 80%)

Always check the units (power? energy?) and the definitions of a quantity under scrutiny !

I. Solar energy resource

II. Thermodynamics of solar energy conversion

- A. Solar heating
- B. Concentrated solar power
- C. Photovoltaics

III. Overview of the silicon PV technology

IV. PV today and tomorrow



Solar flux – the usual approach

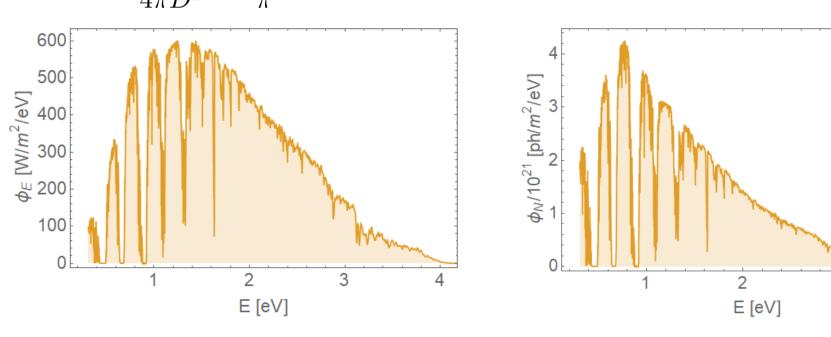
Surface temperature : 6000 K Emitted power: Stefan law $P_{\odot} = 4\pi R_{\odot}^2 \, \sigma T^4$

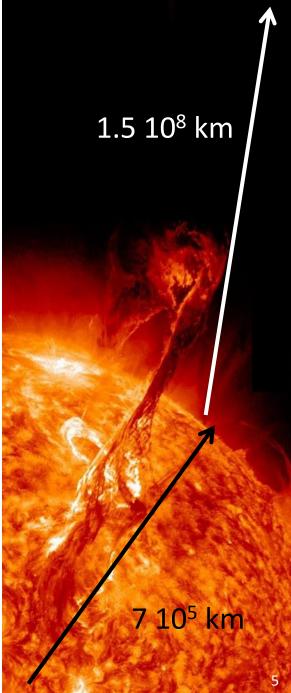
Intensity reaching the Earth :

Photon flux reaching the Earth :

3

$$G_{\rm SC} = \frac{P_{\odot}}{4\pi D^2} = \frac{\Omega_{\odot}}{\pi} \sigma T^4 = 1360 \,\mathrm{W/m^2} \quad J_N = 4 \times 10^{21} \,\mathrm{m^{-2}.s^{-1}} = 700 \,\mathrm{A/q/m^2}$$

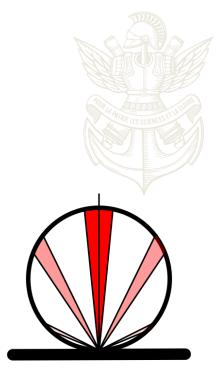




Solar flux – a different approach

Emitted spectrum : Planck law

$$\phi_E(E, \Omega) = \frac{\cos \theta}{4\pi^3 \hbar^3 c^2} \frac{E^3}{\exp\left(\frac{E}{k_B T}\right) - 1}$$



Black body has a Lambertian emission

$$\theta_{sun}$$

The power received by a surface depends on the **optical étendue** of the system

$$\phi_E(\Omega) = \int dE \phi_E(E, \,\Omega) = \frac{\cos\theta}{\pi} \sigma T^4$$

$$P = \frac{1}{\pi} \underbrace{dS \int \cos \theta d\Omega \times \sigma T_{sun}^4}_{\text{etendue}} = \sin^2 \theta_{sun} dS \times \sigma T_{sun}^4$$

$$Low \text{ concentration}$$

$$\cong \theta_{sun}^2 \times \sigma T_{sun}^4 dS$$

$$\cong \frac{\Omega_{sun}}{\pi} \times \sigma T_{sun}^4 dS$$

Solar flux – back on tracks

Surface temperature : 6000 K
$$P = \frac{1}{\pi} \underbrace{dS \int \cos \theta d\Omega}_{\text{etendue}} \times \sigma T_{\text{sun}}^4 = \sin^2 \theta_{\text{sun}} dS \times \sigma T_{\text{sun}}^4$$

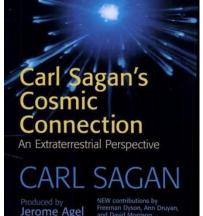
Angular diameter: $2\theta_{\text{sun}} \simeq 2\left(\frac{R_{\text{sun}}}{1 \text{ a.u.}}\right) = 0.52^{\circ}$
Intensity reaching the Earth : Photon flux reaching the Earth :
 $G_{\text{SC}} = \sin^2 \theta_{\text{sun}} \times \sigma T^4 = 1360 \text{ W/m}^2$
 $\int_{0}^{0} \int_{0}^{0} \int$

1.5 10⁸ km 7 10⁵ km 7

A little bit of Sci-Fi

Niklai's Kardashev scale (1964)







In 2015, world consumption 10^{13} W

Туре 0.7...

Solar radiation on Earth

Intensity reaching the atmosphere :

$$G_{\rm SC} = 1360 \,\mathrm{W/m^2}$$

φ_E [W/m²/nm] Intensity transmitted through the atmosphere:

 $G_{\rm AM\,1.5} = 1000 \,\rm W/m^2$

Influence of latitude,

Influence of seasons,

Influence of day-night cycles,

Influence of weather conditions

2.0

0.5

0.0

0

500

1500

 λ [nm]

1000

2000

2500

3000

AM 0

$$\bar{G}_{\rm France} = 150 \, {\rm W/m^2}$$

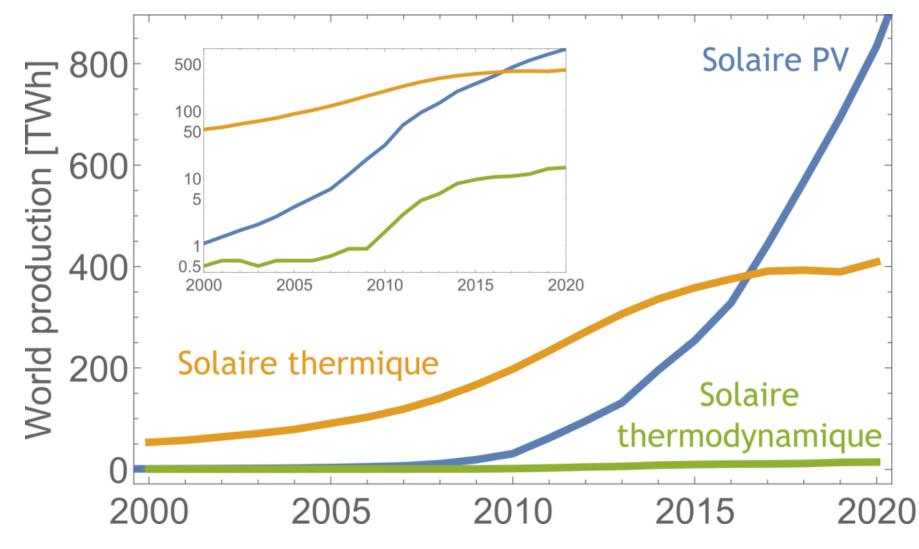
Spatial distribution of the solar resource SOLAR RESOURCE MAP WORLD BANK GROUP ESMAP SOLARGIS **GLOBAL HORIZONTAL IRRADIATION** 45°N 30°N Diffuse – Diffuse —> Horizontal Irradiance Direct DHI Global 30°S Horizontal Irradiance 45°S © 2019 The World Bank GHI Source: Global Solar Atlas 2.0 Long-term average of global horizontal irradiation (GHI) Daily totals: 7.0 7.4 2.2 2.6 3.0 3.4 3.8 4.2 4.6 5.0 5.4 5.8 6.2 6.6 kWh/m² Yearly totals: 803 949 1095 1241 1387 1534 1680 1826 1972 2118 2264 2410 2556 2702



To biomass **Photo-synthesis** 1% efficiency

To heat Solar heating (and cooling) 80% efficiency To heat then electricity Concentrated Solar Power(CSP) 20% efficiency To electricity directly Solar Photovoltaics (PV) 20% efficiency

Spoiler alert



I. Solar energy resource

II. Thermodynamics of solar energy conversion

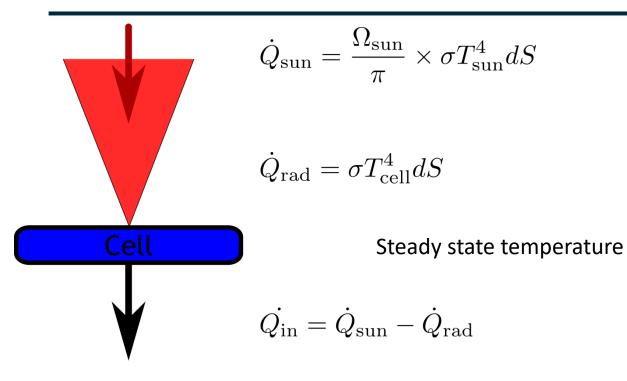
- A. Solar heating
- B. Concentrated solar power
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III. Overview of the silicon PV technology

IV. PV today and tomorrow



Solar heating



Power absorbed from the Sun



Evacuated Tubes

Flat Panel

Power radiated by the absorber

 $T_{\rm cell} \le \left(\frac{\Omega_{\rm sun}}{\pi}\right)^{1/4} T_{\rm sun}$

The remaining power is used for heating



Solar heating

Capacity [GW_{th}], Energy [TWh]

600-

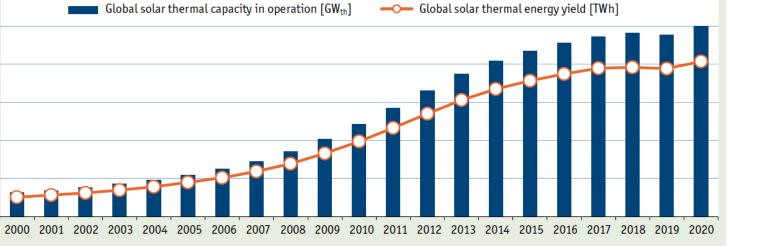
500

400

300

200

100

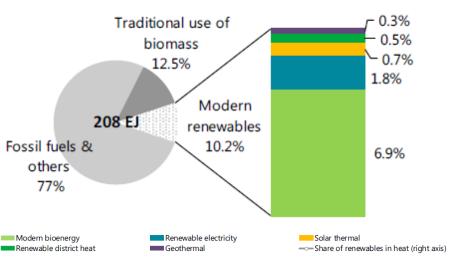


Global solar thermal capacity in operation and annual energy 2000 – 2020

90% used for Domestic Hot Water

Energy source shares in global heat consumption, 2018

Evacuated Tubes



IEA (2019). All rights reserved.



Flat Panel

From light to work: the Müzer engine

$$\dot{Q}_{sun} = \frac{\Omega_{sun}}{\pi} \times \sigma T_{sun}^4 dS$$

$$\dot{Q}_{rad} = \sigma T_{cell}^4 dS$$

$$\dot{Q}_{in} = \dot{Q}_{sun} - \dot{Q}_{rad}$$

$$\dot{Q}_{out} = \frac{T_{atmo}}{T_{cell}} \dot{Q}_{in}$$

Power absorbed from the Sun

Power radiated by the absorber

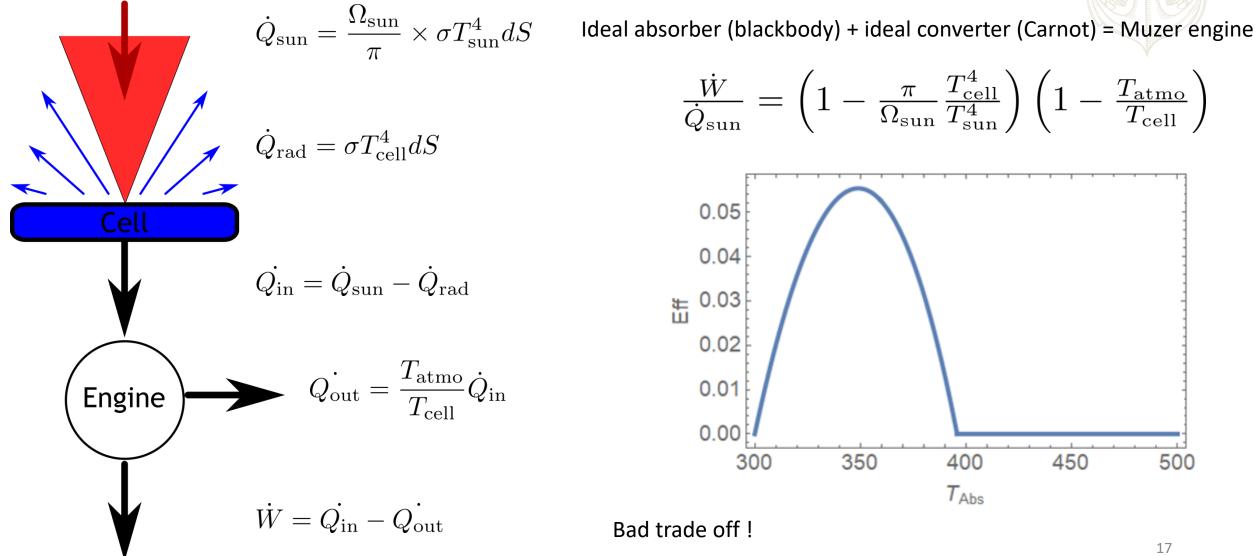
 $\dot{W} = \dot{Q_{\text{in}}} - \dot{Q_{\text{out}}}$

The remaining power is provided to a Carnot Engine

Heat must be expelled such that $S_{in} = S_{out}$

The remaining power can be converted into work

From light to work: the Müzer engine



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Option 1: light concentration $\dot{Q}_{\rm sun} = \frac{\Omega_{\rm sun}}{\pi} \times \sigma T_{\rm sun}^4 dS$ Same power coming from the sun Size of the lens $\dot{Q}_{\rm rad} = \sigma T_{\rm cell}^4 ds$ Smaller absorber \rightarrow less emission Size of the cell Size of the cell = size of the image of the Sun through the lens $ds = f^2 \Omega_{ m sun}$ Steady state temperature Engine $T_{\text{cell}} \le \left(\frac{\Omega_{\text{sun}}}{\pi} \frac{dS}{ds}\right)^{1/4} T_{\text{sun}} = \left(\frac{dS}{\pi f^2}\right)^{1/4} T_{\text{sun}}$ IS HOUSE WE OBEY

Optical concentration - solution

You can't make an arbitrarily small image because optical étendue is conserved (or increased) but never reduced

etendue =
$$\sin^2 \theta_{sun} dS = cste = \sin^2 \theta_{lens} ds$$

$$ds = \frac{\Omega_{\rm sun}/\pi}{\sin^2 \theta_{\rm lens}} dS$$

Low concentration

$$\sin^2\theta_{\rm lens} \sim \frac{r_{\rm lens}^2}{f^2}$$

$$ds = f^2 \Omega_{\rm sun}$$

Large concentration

$$\sin^2 \theta_{\rm lens} \sim 1$$

$$ds = \frac{\Omega_{\rm sun}}{\pi} dS$$

Can you use a magnifying glass and moonlight to light a fire?

$$T_{\rm cell} \le \left(\frac{\Omega_{\rm sun}}{\pi} \frac{dS}{ds}\right)^{1/4} T_{\rm sun} = (\sin^2 \theta_{\rm lens})^{1/4} \times T_{\rm sun} \le T_{\rm sun}$$

Concentration factor

 $1 \le \frac{1}{\sin^2 \theta_{\text{lens}}} \le \frac{\pi}{\Omega_{\text{sun}}} = 46\,000$

Optical concentration

$$\dot{Q}_{sun} = \frac{\Omega_{sun}}{\pi} \times \sigma T_{sun}^4 dS$$

$$\dot{Q}_{rad} = \sigma T_{cell}^4 ds = \sigma T_{cell}^4 \frac{dS}{C}$$

$$1 \le C \le \frac{\pi}{\Omega_{sun}} = 46\,000$$

$$\dot{Q}_{in} = \dot{Q}_{sun} - \dot{Q}_{rad}$$

$$\dot{Q}_{out} = \frac{T_{atmo}}{T_{cell}} \dot{Q}_{in}$$

$$\dot{W} = \dot{Q}_{in} - \dot{Q}_{out}$$

Power absorbed from the Sun

Power radiated by the absorber

The remaining power is provided to a Carnot Engine

Heat must be expelled such that $S_{in} = S_{out}$

The remaining power can be converted into work

Concentrated Solar Power = Solar Thermal Electricity

$$\dot{Q}_{sun} = \frac{\Omega_{sun}}{\pi} \times \sigma T_{sun}^4 dS$$
Muzer engine under concentration
$$\frac{\dot{W}}{\dot{Q}_{sun}} = \left(1 - C \times \frac{\pi}{\Omega_{sun}} \frac{T_{cell}^4}{T_{sun}^4}\right) \left(1 - \frac{T_{atmo}}{T_{cell}}\right)$$

$$\dot{Q}_{rad} = \sigma T_{cell}^4 ds = \sigma T_{cell}^4 \frac{dS}{C}$$

$$1 \le C \le \frac{\pi}{\Omega_{sun}} = 46\,000$$

$$\dot{Q}_{in} = \dot{Q}_{sun} - \dot{Q}_{rad}$$

$$\dot{W} = \dot{Q}_{in} - \dot{Q}_{out}$$

$$\dot{W} = \dot{Q}_{in} - \dot{Q}_{out}$$

$$\dot{W} = \dot{Q}_{in} - \dot{Q}_{out}$$
Muzer engine under concentration
$$\frac{\dot{W}}{\dot{Q}_{sun}} = \left(1 - C \times \frac{\pi}{\Omega_{sun}} \frac{T_{cell}^4}{T_{sun}^4}\right) \left(1 - \frac{T_{atmo}}{T_{cell}}\right)$$

$$\dot{U}_{sun} = \frac{T_{atmo}}{\Omega_{sun}} \dot{Q}_{in}$$

$$\dot{W} = \dot{Q}_{in} - \dot{Q}_{out}$$

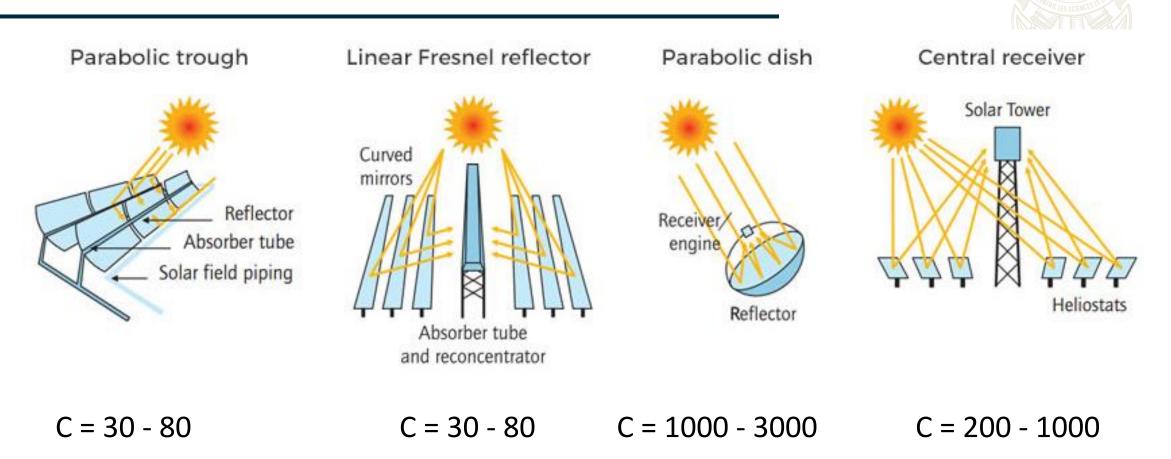
$$\dot{U}_{sun} = \frac{T_{atmo}}{\Omega_{sun}} \dot{Q}_{in}$$

$$\dot{U}_{sun} = \frac{T_{atmo}}{\Omega_{sun}} \dot{Q}_{in}$$

$$\dot{U}_{sun} = \frac{T_{atmo}}{\Omega_{sun}} \dot{Q}_{in}$$

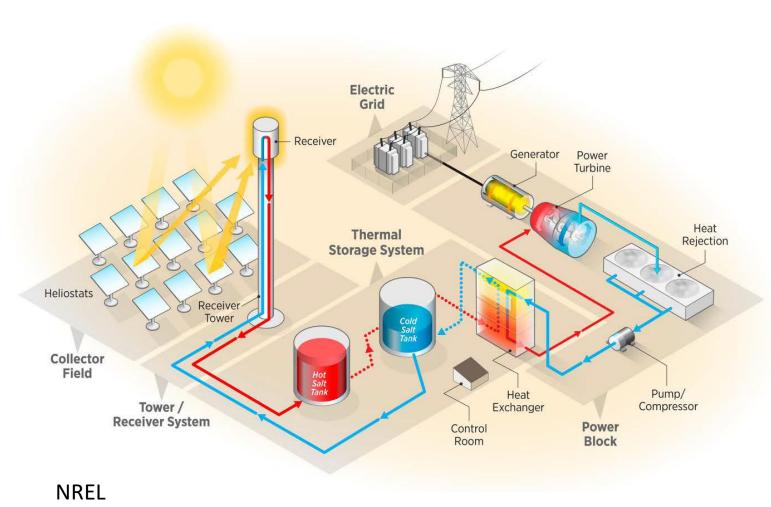
$$\dot{U}_{sun} = \dot{U}_{sun} - \dot{U}_{sun}$$

Concentrators technologies



Historical installations : parabolic trough, with no thermal storage Current projects : solar tower, with thermal storage

Concentrated solar power plant



Built in energy storage (thermal)

No high-tech materials involved (mirrors, pipes, turbine, generator)

Pretty impressive powers

Require direct illumination (can't concentrate diffuse light)

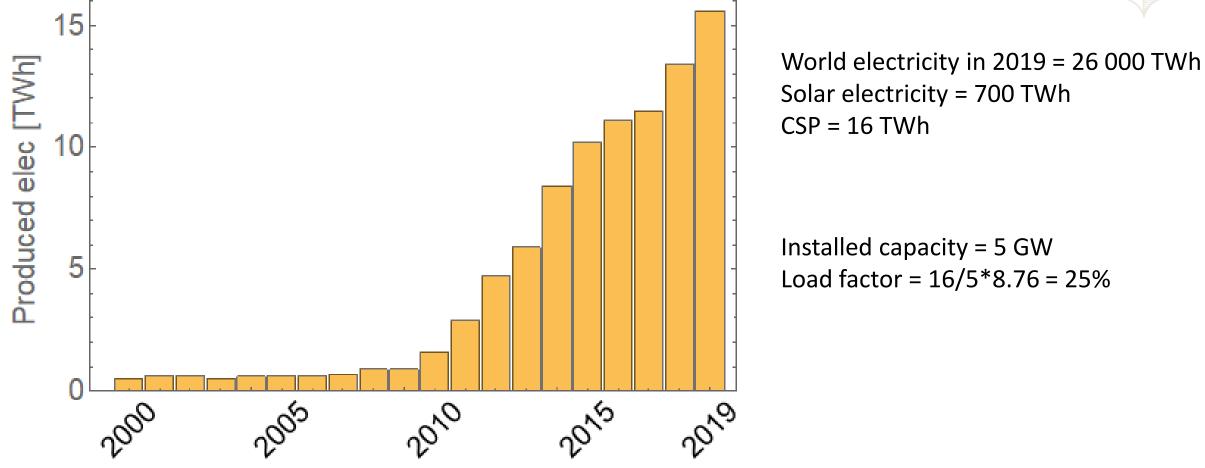
Need tracking (mechanics)

More expensive than solar PV (storage **not** included)

Need large installations to optimize infrastructures

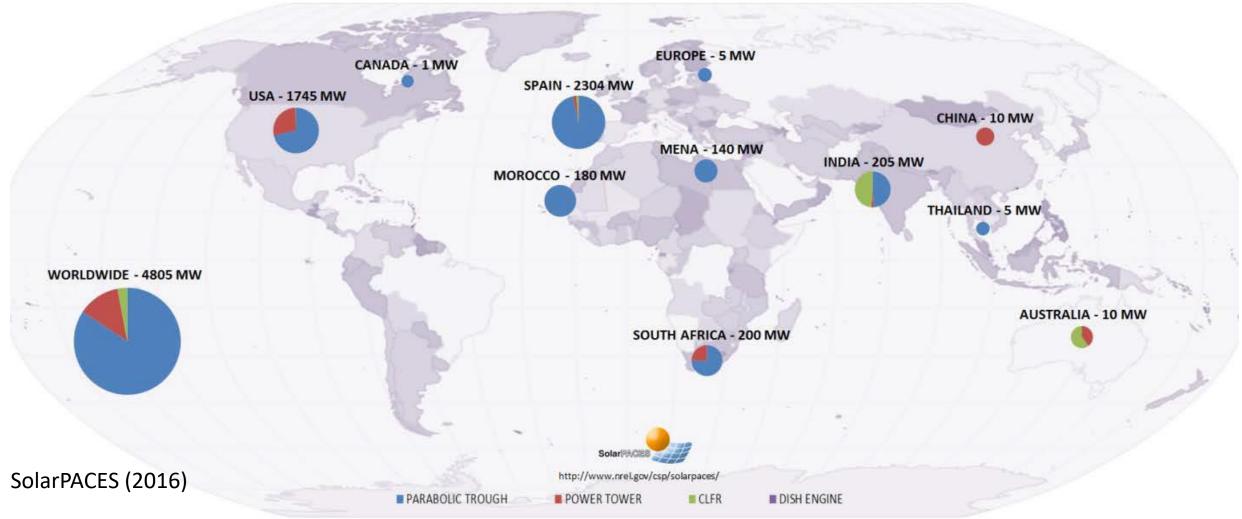
CSP production











I. Solar energy resource

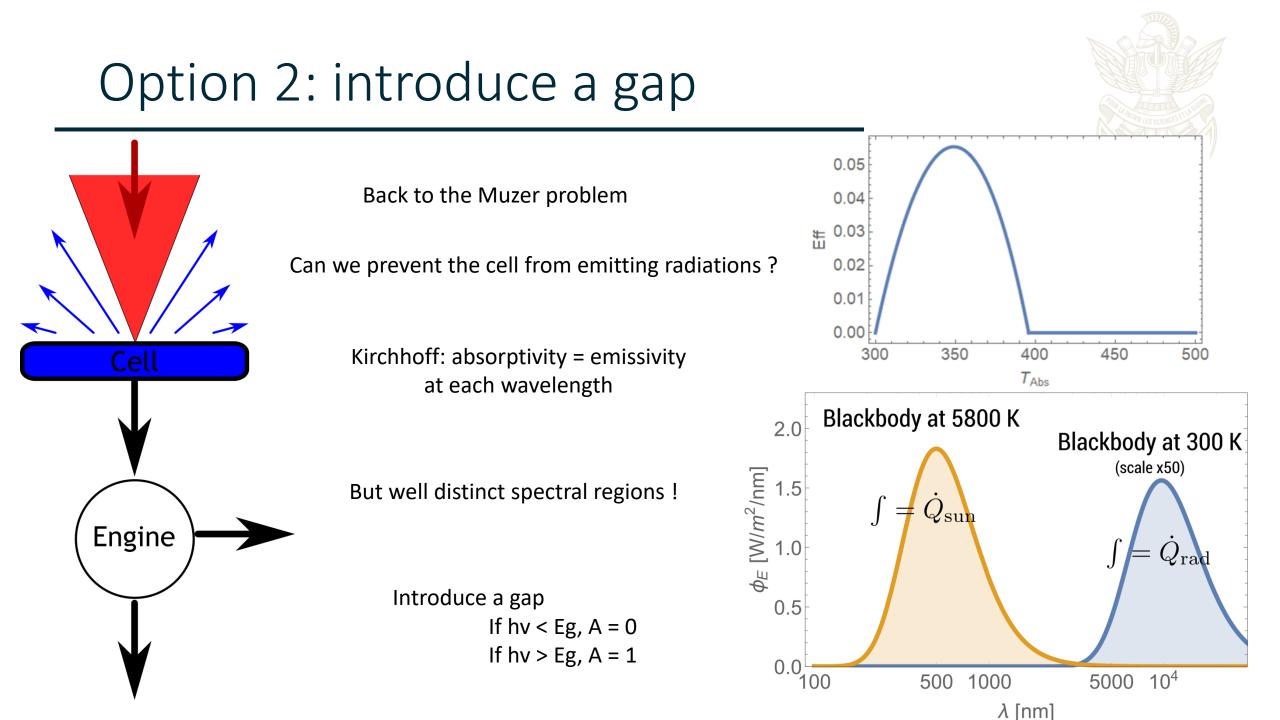
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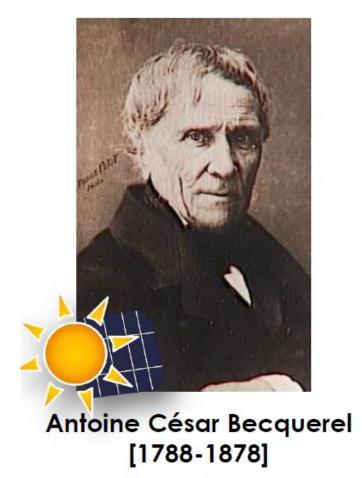
IV. PV today and tomorrow

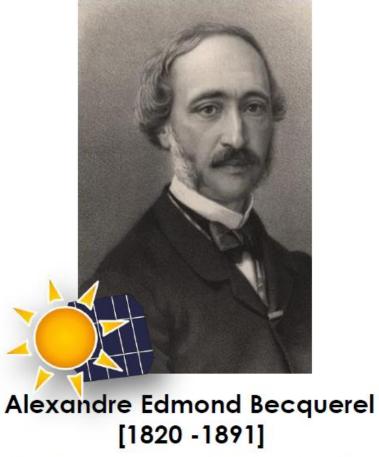


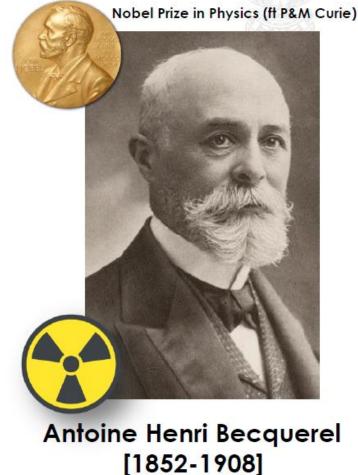


Solar cell : the origins

The photovoltaic effect was discovered in 1839 by the Frenches Antoine and Alexandre Edmond Becquerel (father and son respectively)

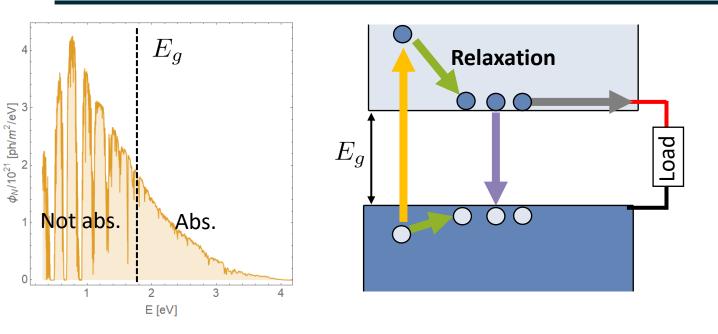








What do you need to make a solar cell?



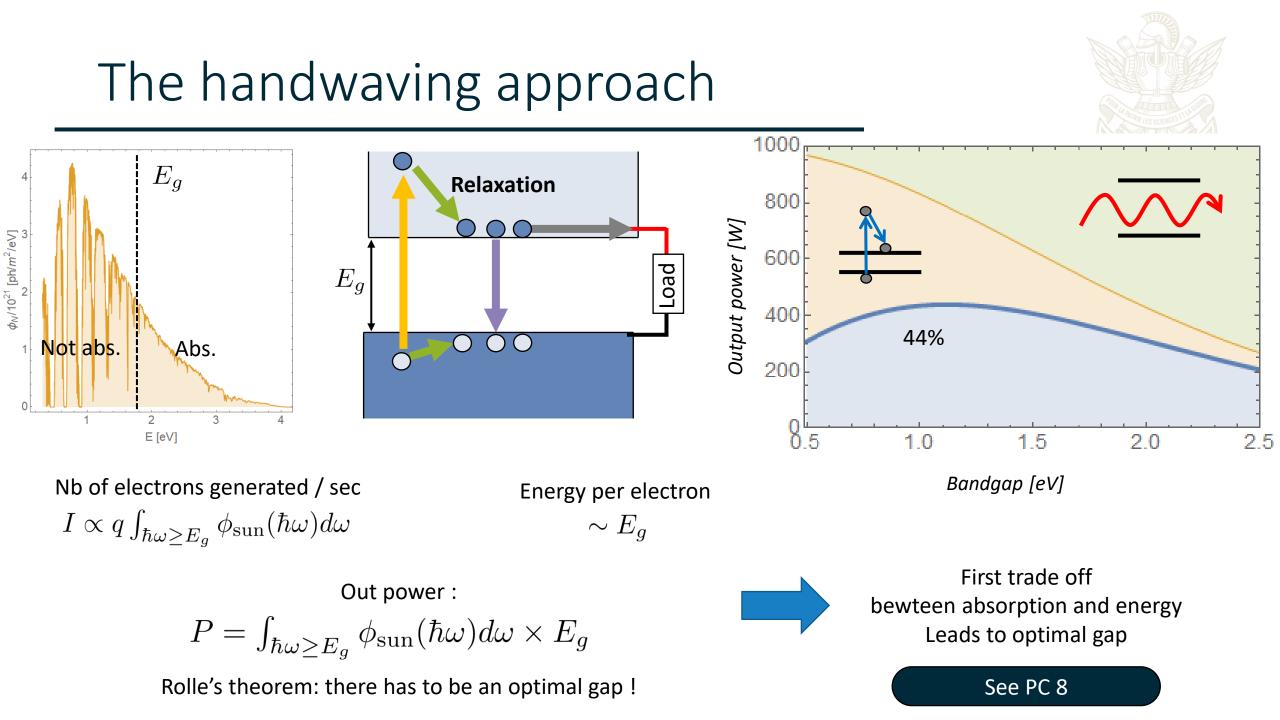
4 key functions :

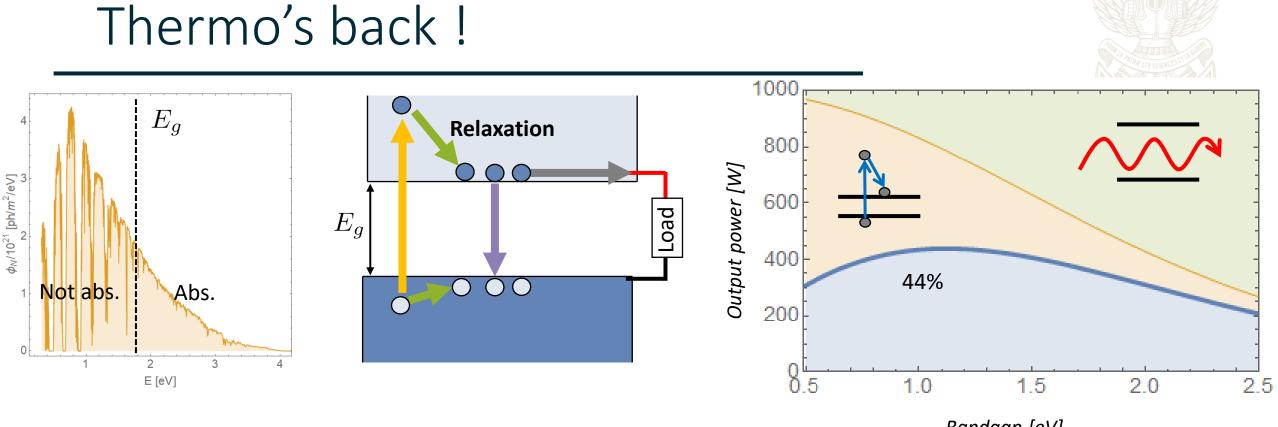
Absorb light

Prevent electrons from recombining

Transport carriers to the contacts

Provide carrier selectivity





Bandgap [eV]

WAIT ! PV : not just total output power! Output *useful, electrical power*.

Extracted energyElectrical powerThermodynamics $\dot{E} = \dot{N} \times Eg$ $P = I \times V$ $\Delta E = W + Q$

Chemical potentials (again !)

1/ At thermal equilibrium, a population is entirely defined by two quantities Temperature (T), chemical potential (μ)

Average energy per electron

Number of elecrons

 $2/\mu$ = variation of the free energy when adding/removing 1 particle

$$\mu_e = \left. \frac{\partial F}{\partial N_e} \right|_{T,V,N_h}$$

Change in free energy = maximal amount of work recoverable over the transformation !

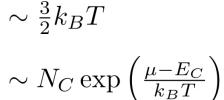
Transformation = remove 1 electron from CB, add 1 electron to VB

$$= \Delta \mu$$

 $W_{\text{out}} = \Delta F = \mu_e - \mu_h$



 μ_e μ_h



The thermodynamic approach

 $P = I \times V$

PV : not just total output power! Output *useful power*.

Electrical engineering :

Thermodynamics:

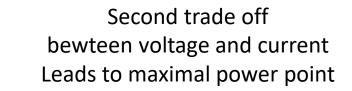
$$\Delta E = W + Q$$

$$W = \Delta \mu = qV$$

Power

More particles \rightarrow Larger $\Delta \mu \rightarrow$ More V, no I

Extract particles \rightarrow Decrease $\Delta \mu \rightarrow$ More I, Less V

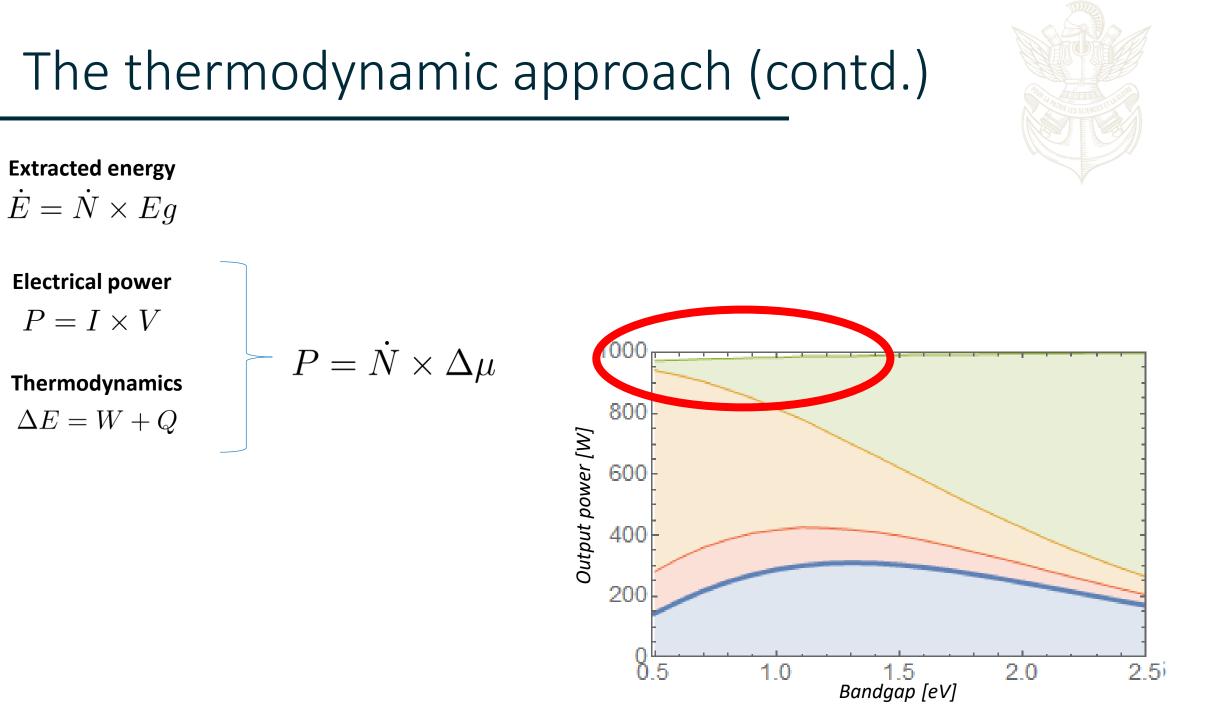


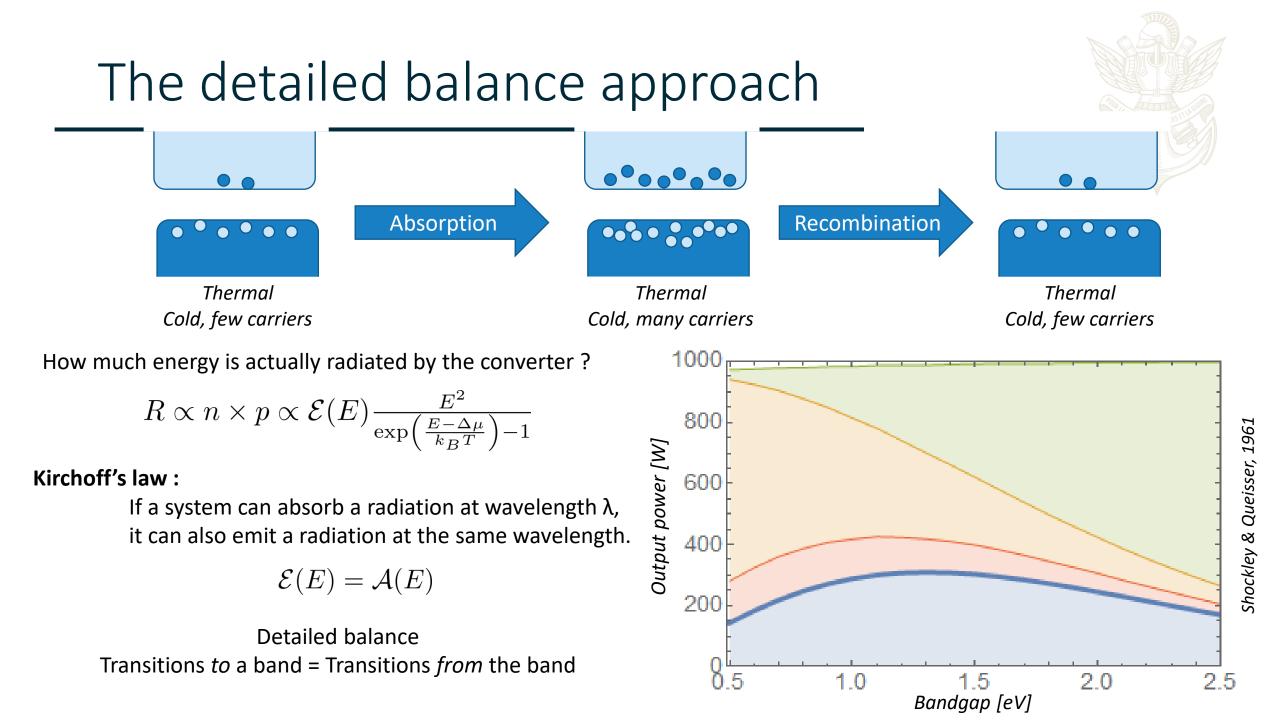
oach

$$\mu_e$$

 μ_h
 μ

See PC 8





The limits of Shockley-Queisser

Underlying assumption:



Full absorption Step like absorptivity Ideal transport

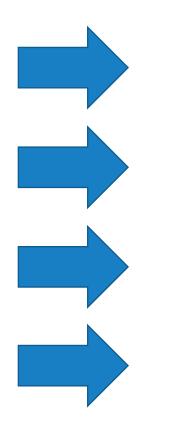
Infinite mobility

Perfect contact

Flat band & selective

Radiative regime

Radiative recombination only



No light management (ARC, texturing, back mirror...)

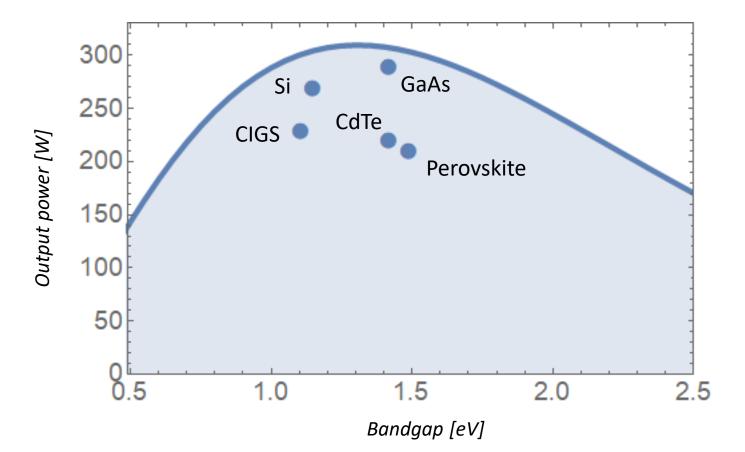
No thickness (ultra thin films...)

No interface optimization (series resistance, passivation...)

No defects, No interactions between carriers

A very simple mode, and yet...





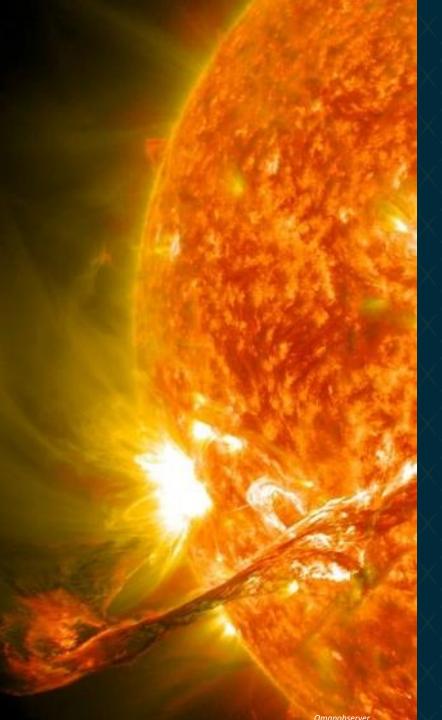
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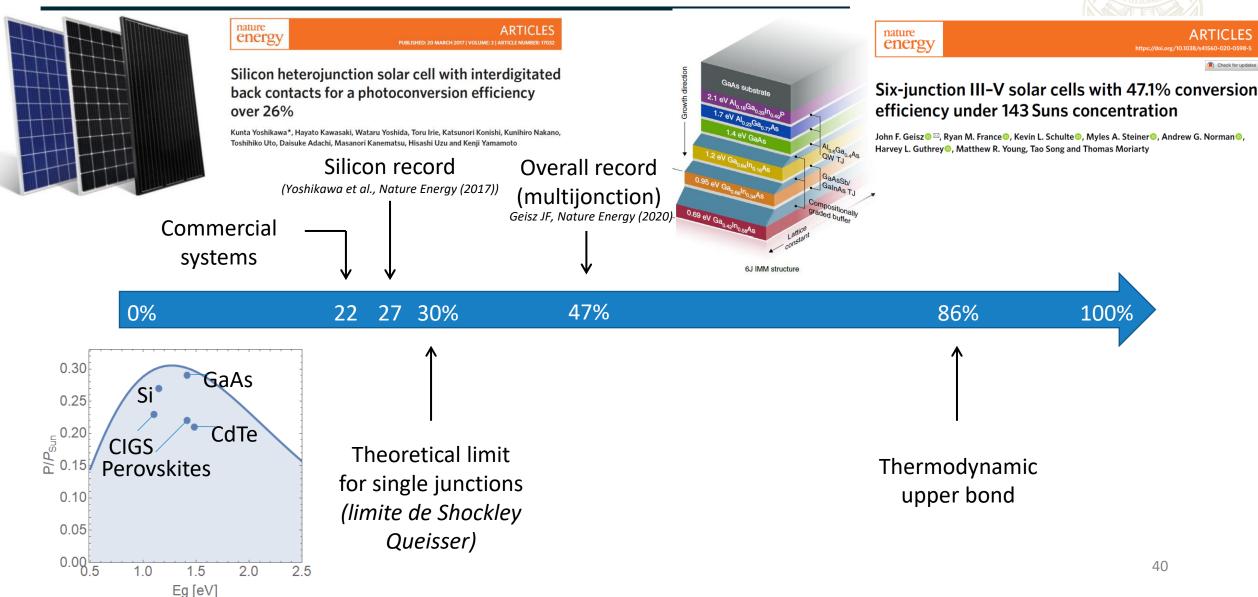
III. Overview of the silicon PV technology

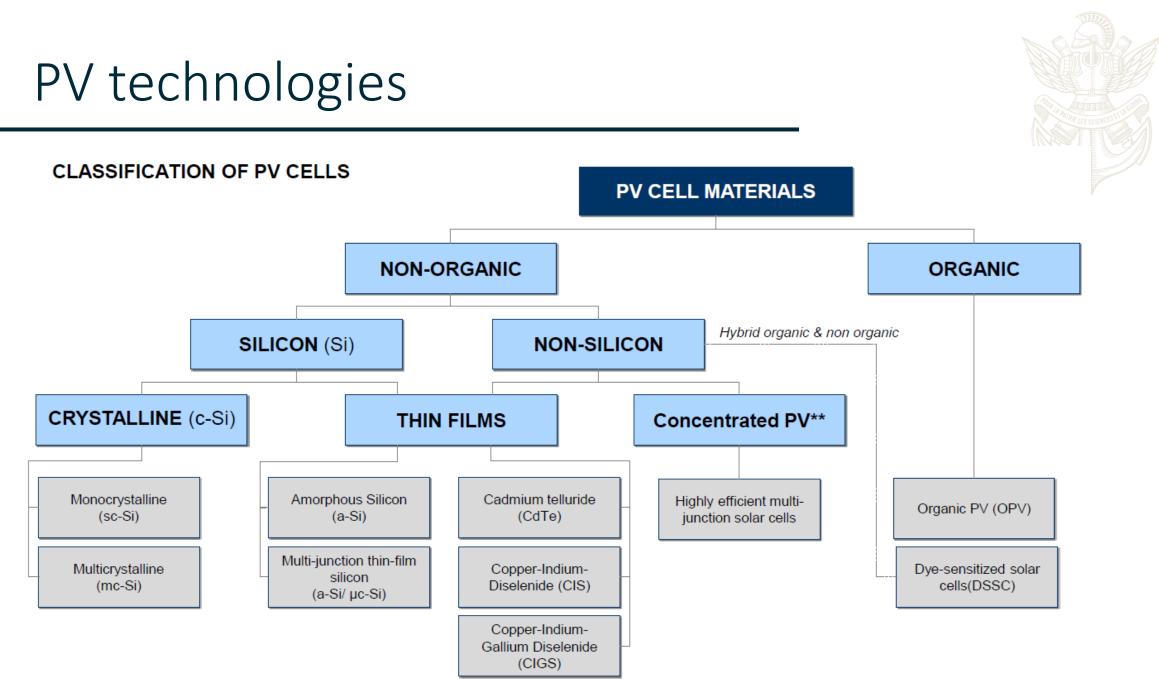
IV. PV today and tomorrow



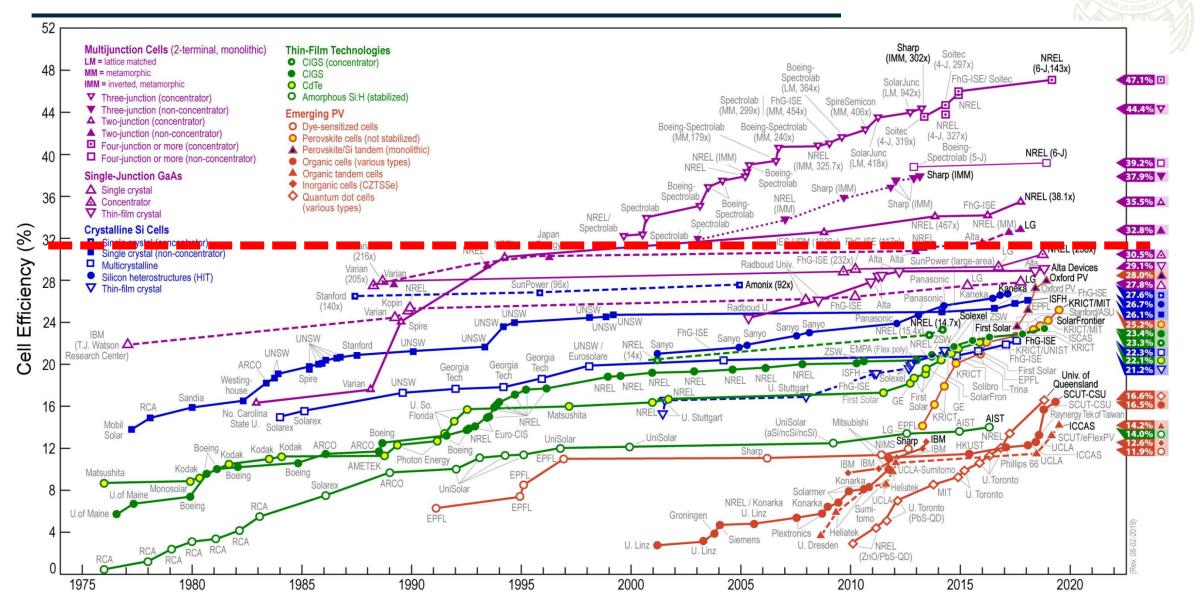
Conversion efficiencies

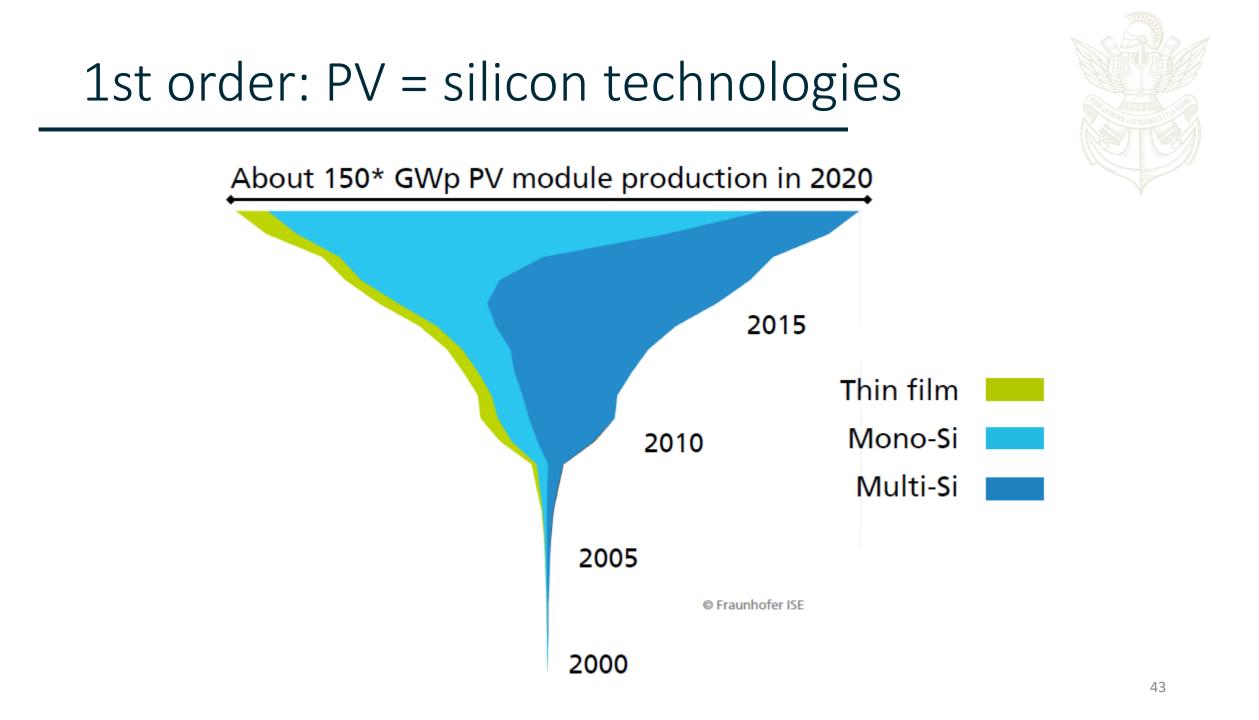




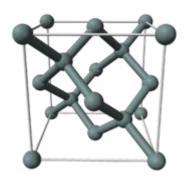


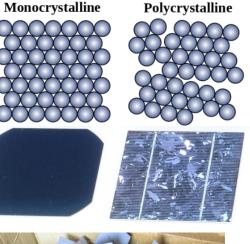
Welcome to the jungle





Techno overview: silicon







Silicon is the historical backbone of PV

1954 : First cell >6% efficiency 1960s' : First commercial systems

(actually, two types of crystals, very similar behavior)

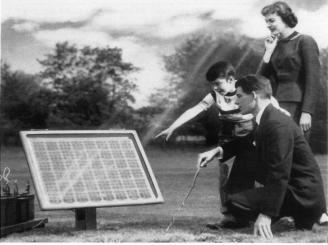
c-Si [Crystalline Silicon]

multi/poly-Si [multi/poly crystalline Silicon]

Silicon PV originates in the electronics industry. Excellent electronic properties (mobility, lifetime), Not so good optical properties, but ideal gap

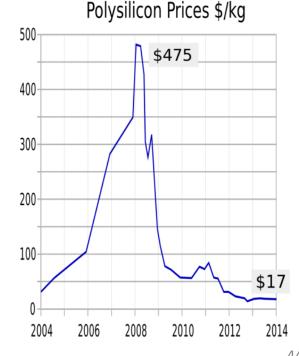
Benefitted from the experience curve of electronics

"Silicon shortage" in 2006 – 2010 → emergence of a dedicated market

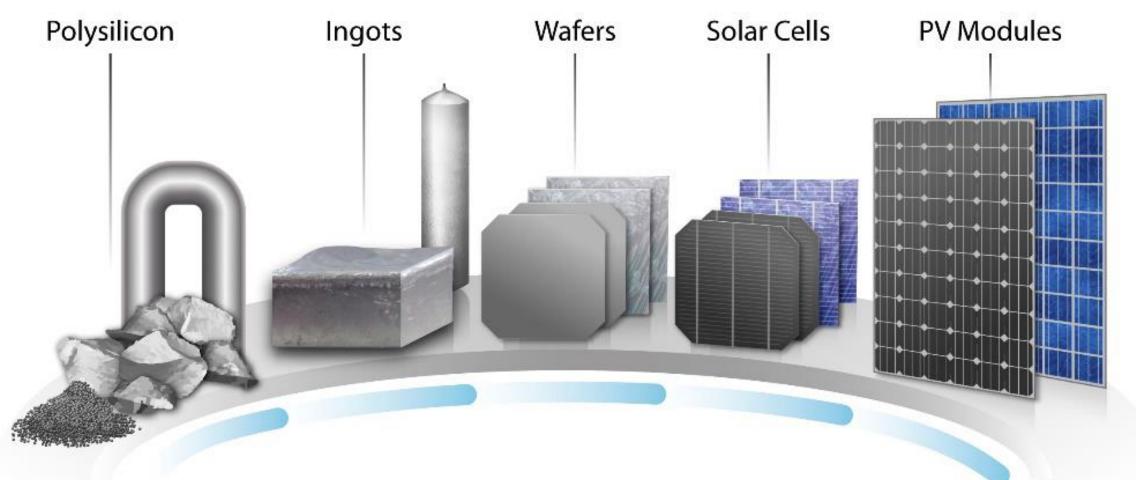


mething New Under the Sun. It's the Bell Solar Battery, made of thin discs of specially treated silicon, a gredient of common sand. It converts the sun's rays directly into usable amounts of electricity. Simple an uble-free. (The storage batteries beside the solar battery store up its electricity for night use.)

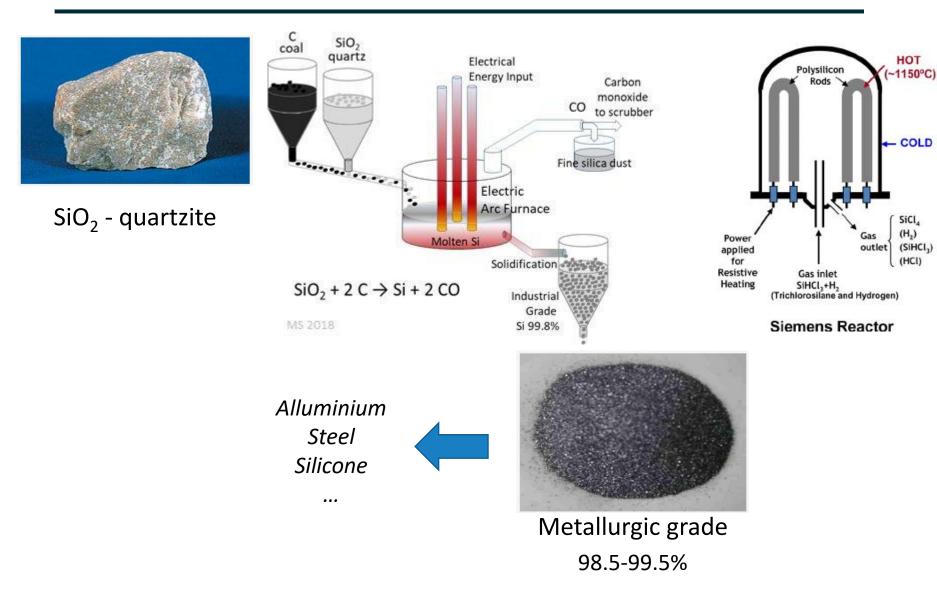
Bell System Solar Battery Converts Sun's Rays into Electricity!



From quartzite to pure silicon



From quartzite to pure silicon





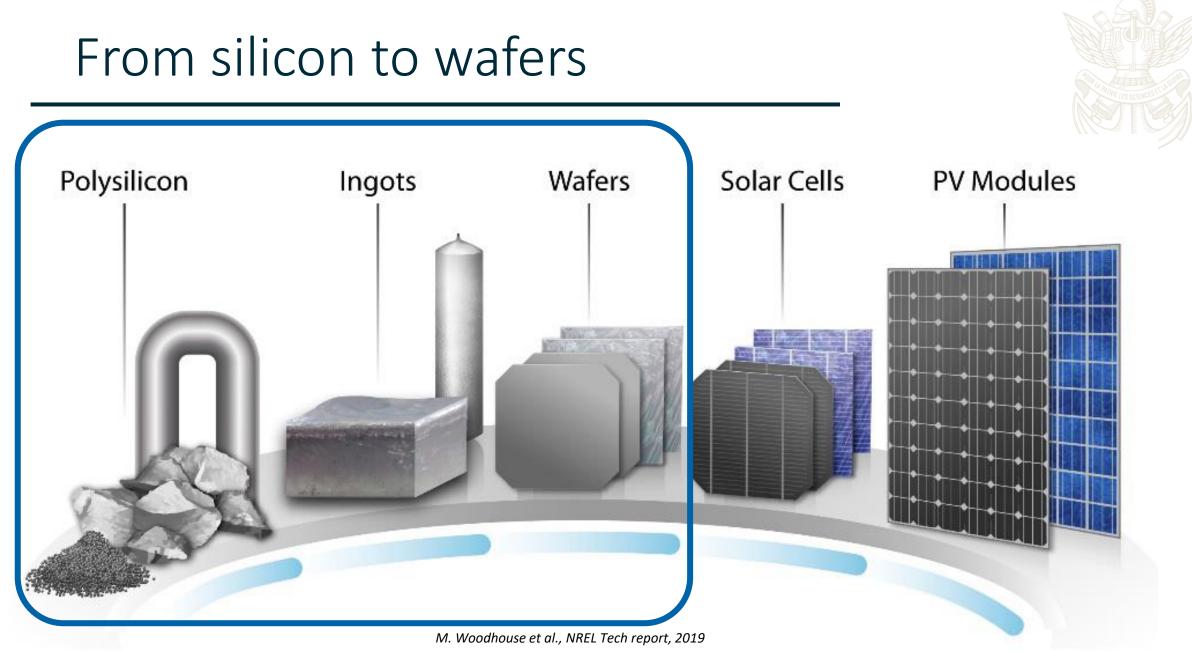


Electronic grade poly-Si

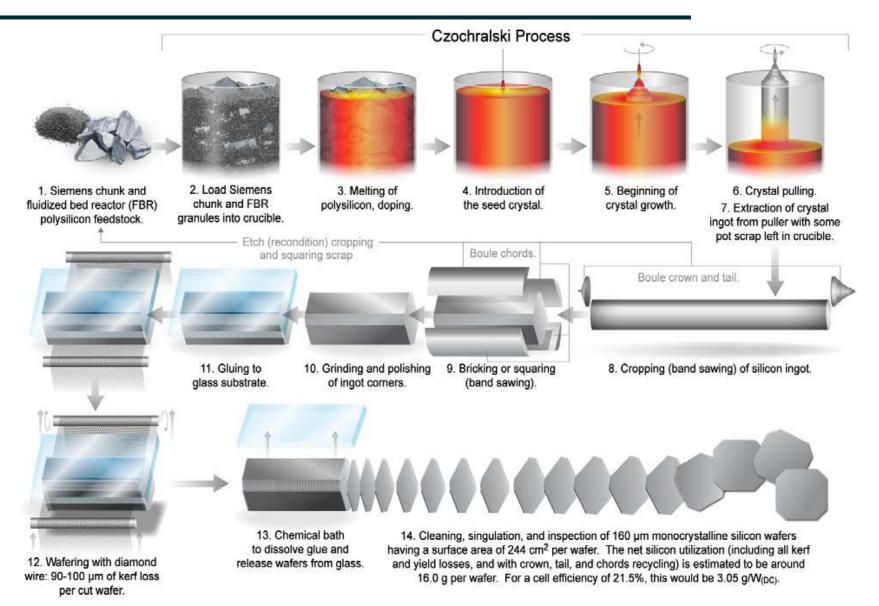
(9N) 99.9999999%

(4.5N) 99.995%



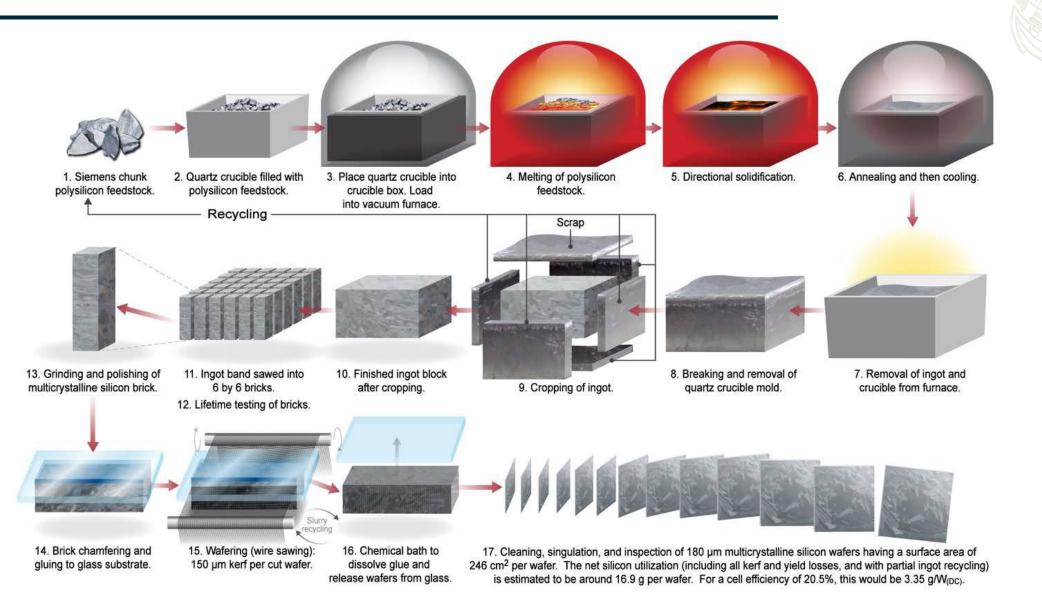


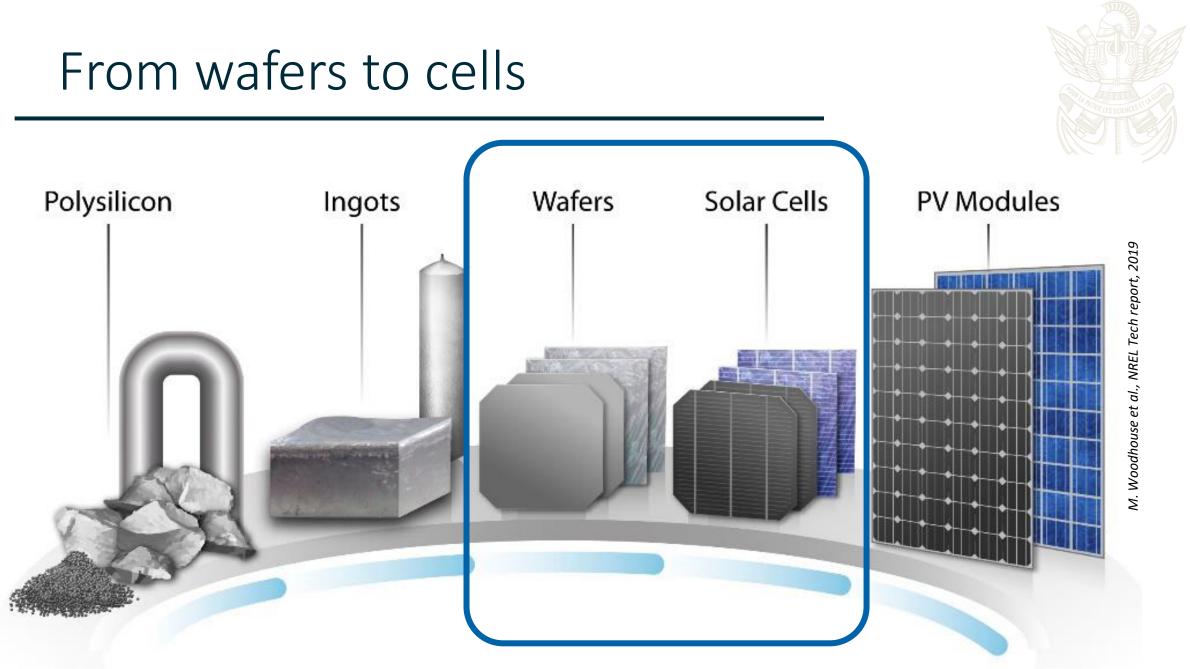
Monocrystaline wafers





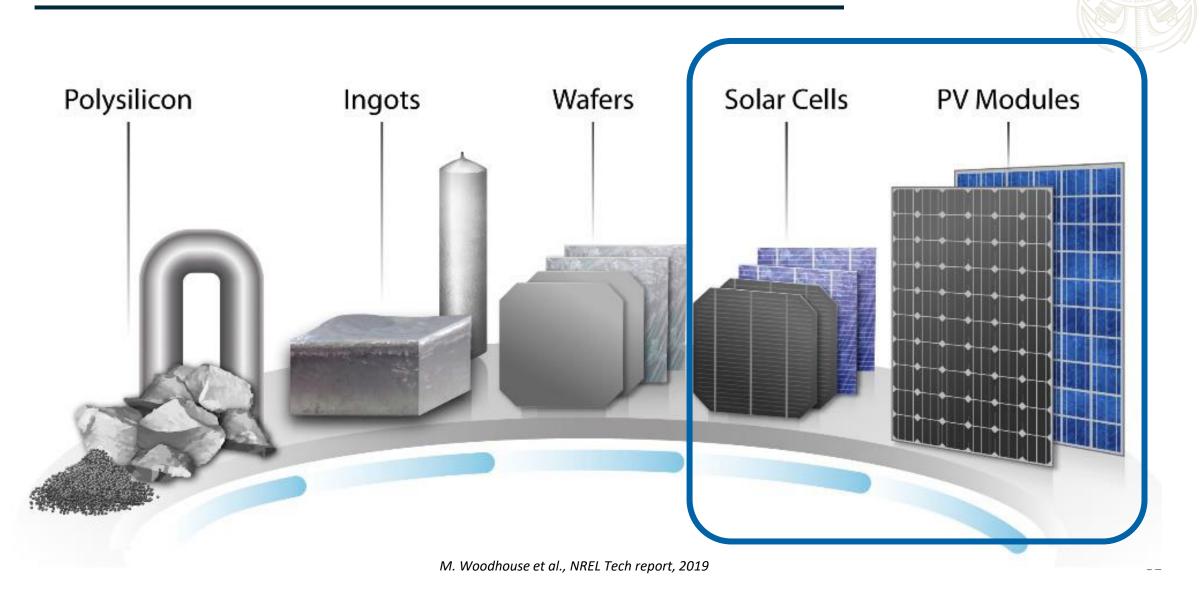
Multi-crystalline wafers



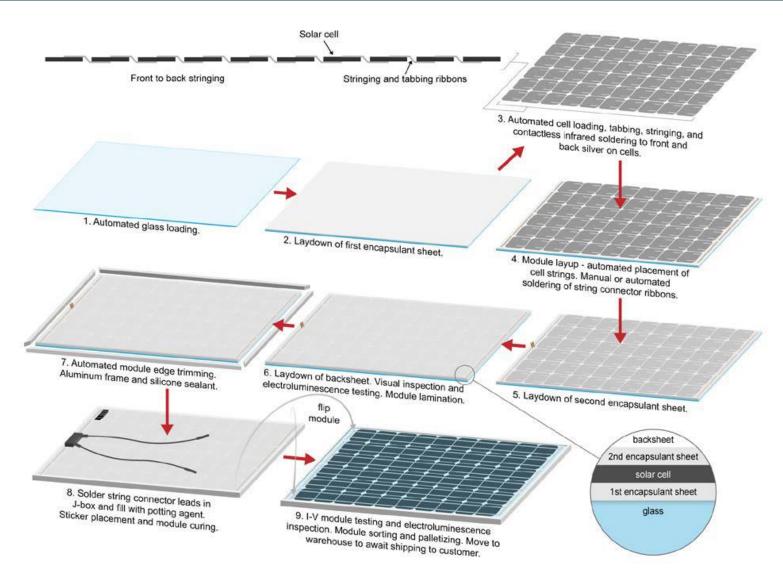


Inside a solar cell 1. Test wafer. 3. POCl₃ diffusion. 4. PSG removal, rear side 2. Saw damage removal and M. Woodhouse et al., NREL Tech report, 2019 surface texturization. planarization, and edge isolation by single-side etching. 8. Screen-print frontside Ag 5. Rear-side deposition of silicon 7. Laser opening of dielectric 6. PECVD of SiN_x for frontside paste for fingers and busbars, anti-reflection, backside layers for ohmic contact oxide or aluminum oxide layer. rearside Ag paste for tabbing between Si and AI BSF. reflection and surface passivation. and stringing, and then Al paste for BSF. Cofire. 9. J-V measurement and cell binning. 19 - 22% Cells

From cells to modules



Moduling







Solar cell shopping list



Poids en gramme	par m²	par Wc efficacité : 20%	par kWh ensoleillement 1700 kWh/m²/an facteur de perf. 85% 25 ans, -0.5%/an
Silicium	600	3	0.1
Gallium	0.000 2	0.000 001	0.000 000 003
Argent	4	0.02	0.000 6
Aluminium	1 600	8	0.24
Plastique	1 700	8.5	0.25
Verre	8 000	40	1.2
Cuivre	900	4.5	0.14
Béton	12 000	60	1.8
Acier	14 000	70	2.1
CO ₂	50	1 000	30
Energie primaire [MJ]	3 000	15	0.45

Recyling

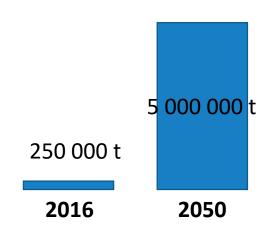
Europe's first solar panel recycling plant opens in France

Geert De Clercq

3 MIN READ 🕑 f

"This is the **first** dedicated solar panel recycling plant in Europe, possibly **in the world**," Gilles Carsuzaa, head of electronics recycling at Veolia, told reporters.

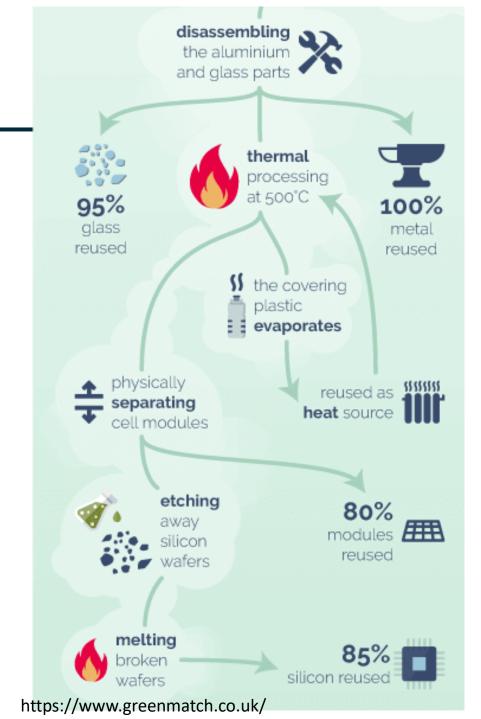
G. De Cleerq, Reuters, June 2018



Recycling Or downcycling ?

> Recycling of non-Si techno ?

End of life management, IEA – IRENA, 2016

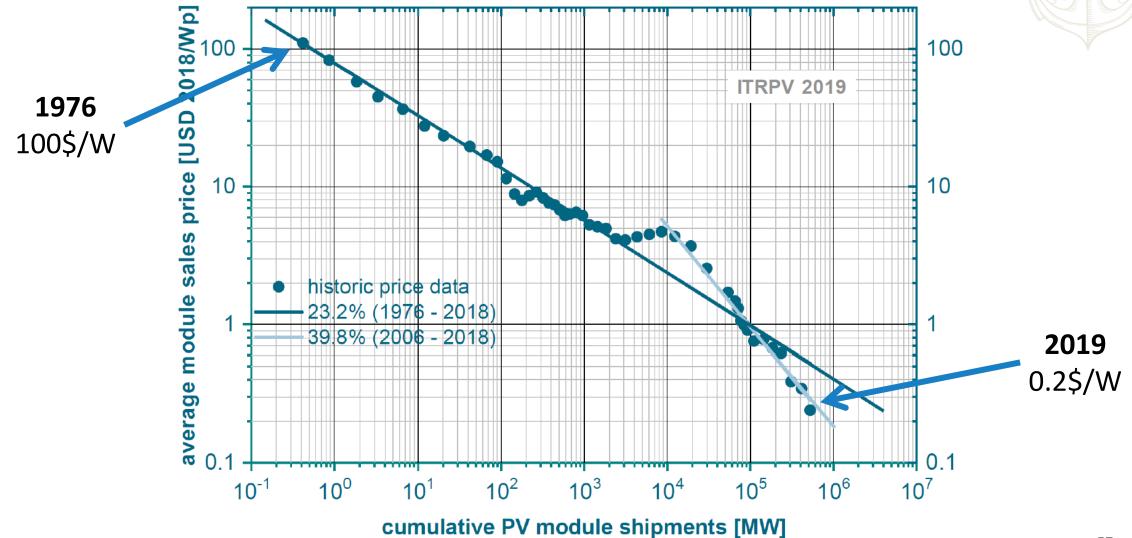


Lecture 6 – solar energy

- I. Solar energy resource
- II. Thermodynamics of solar energy conversion
 - A. Solar heating
 - B. Concentrated solar power
 - C. Photovoltaics
- III. Overview of the silicon PV technology

IV. PV today and tomorrow

Cost evolution



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Module cost

Module cost

Module assembly

Module materials

Other celluling costs

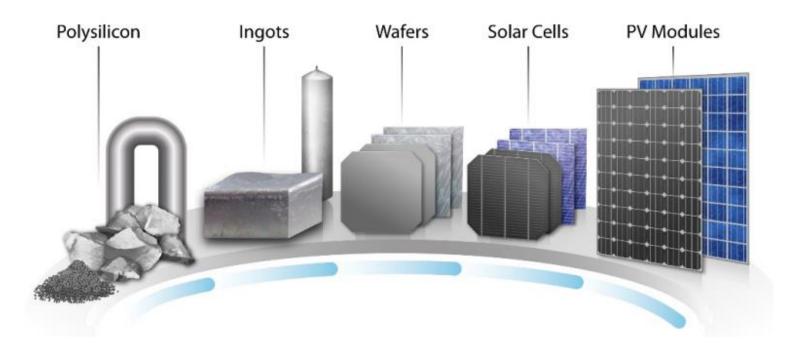
Metalization

Waffer treatment

Waffer production

0.24 \$/W

NREL (2019)



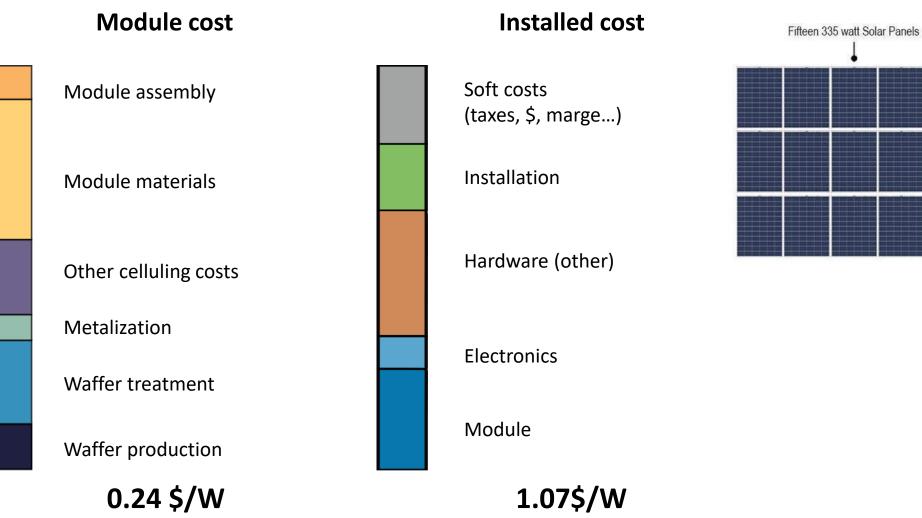
M. Woodhouse et al., NREL Tech report, 2019



Installed cost

NREL (2019)

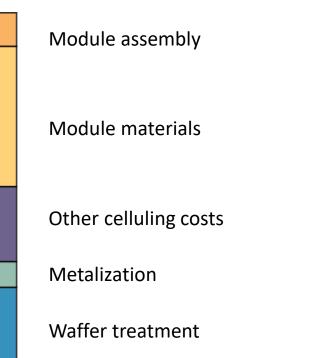




IRENA (2019)

Levelized cost

Module cost



Waffer production

0.24 \$/W NREL (2019)

Installed cost Soft costs (taxes, \$, marge...) Installation Hardware (other) Electronics Module 1.07\$/W

IRENA (2019)

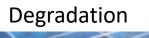
Levelized cost of electricity

About 1/3 of electricity bills (J. Percebois)

Maintenance

Illumination







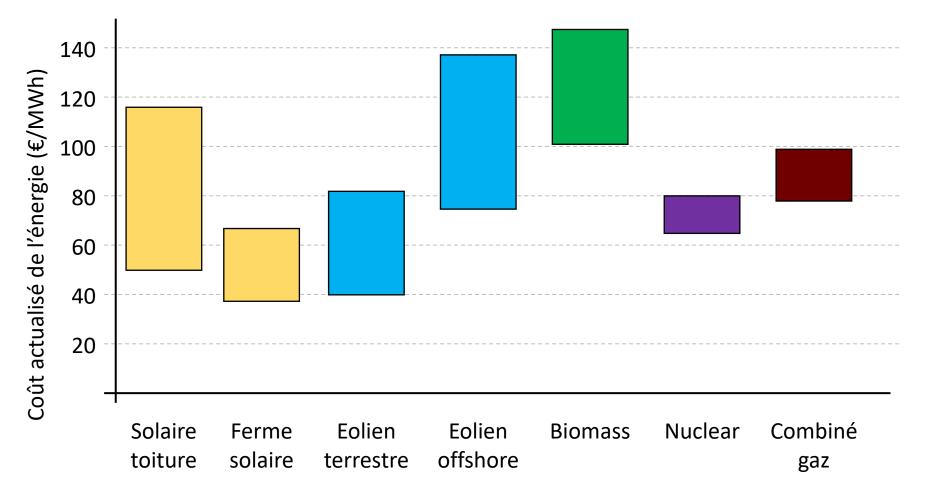
-0,5% /an

Efficiency: 20% → 17% in 30 yrs

40-60 \$/MWh

ITRPV (2019)

Levelized cost (continued)



Fraunhofer ISE, Levelized cost of electricity – renewable energy technologies (2018)

EIA, Levelized Cost and Levelized Avoided Cost of New Generation (2019)

Energy pay back

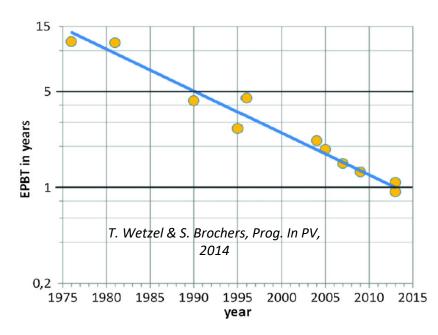
PHOTOVOLTAICS

PROGRESS IN PHOTOVOLTAICS: RESEARCH AND APPLICATIONS Prog. Photovolt: Res. Appl. (2014) Published online in Wiley Online Library (wileyonlinelibrary.com). DOI: 10.1002/pip.2548

BROADER PERSPECTIVES

Update of energy payback time and greenhouse gas emission data for crystalline silicon photovoltaic modules

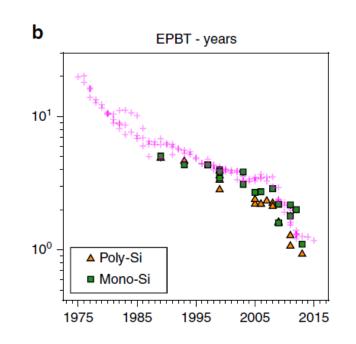
Thomas Wetzel* and Stephanie Borchers

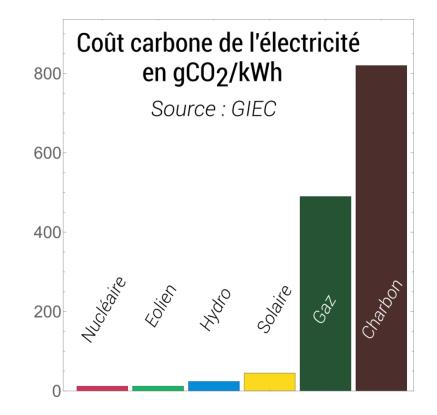


ARTICLE

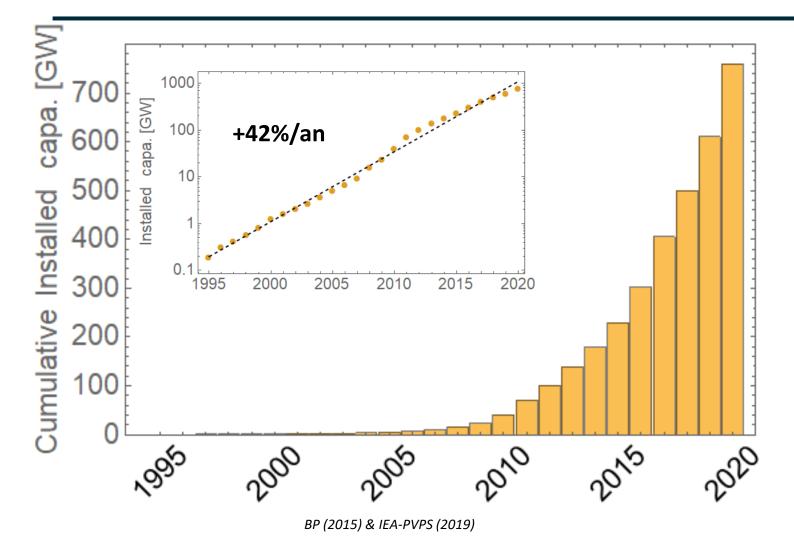
Received 2 Feb 2016 | Accepted 28 Oct 2016 | Published 6 Dec 2016 Dol: 10.1038/ncomms13728 OPEN Re-assessment of net energy production and greenhouse gas emissions avoidance after 40 years of photovoltaics development

Atse Louwen¹, Wilfried G.J.H.M. van Sark¹, André P.C. Faaij² & Ruud E.I. Schropp³





Installed PV capacity

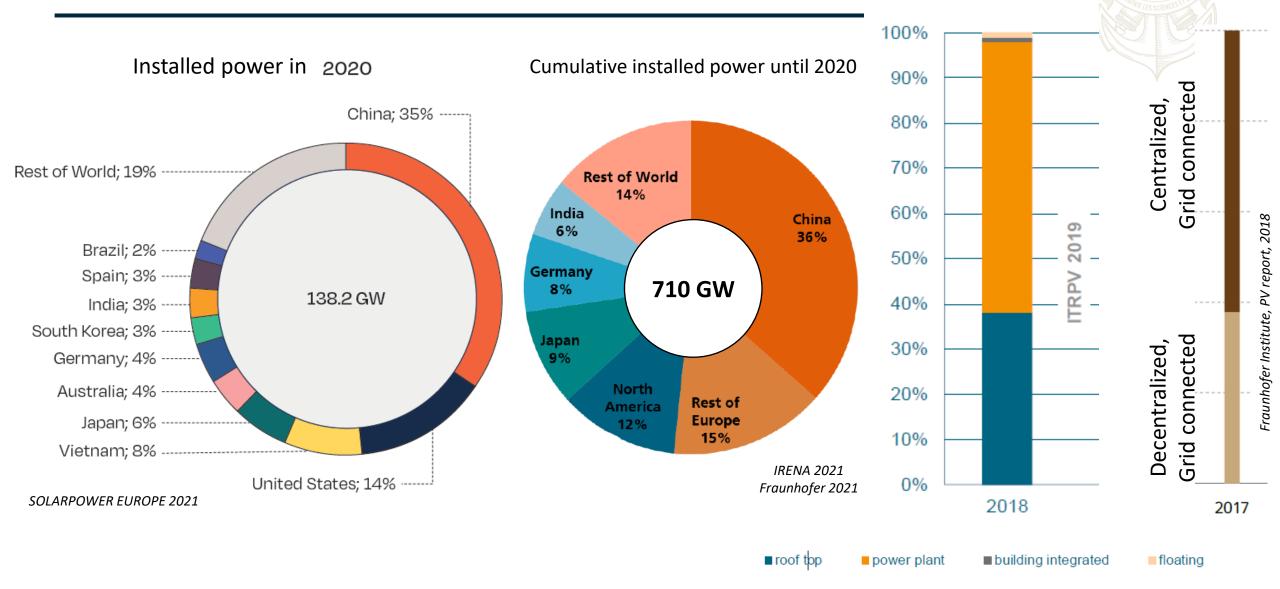




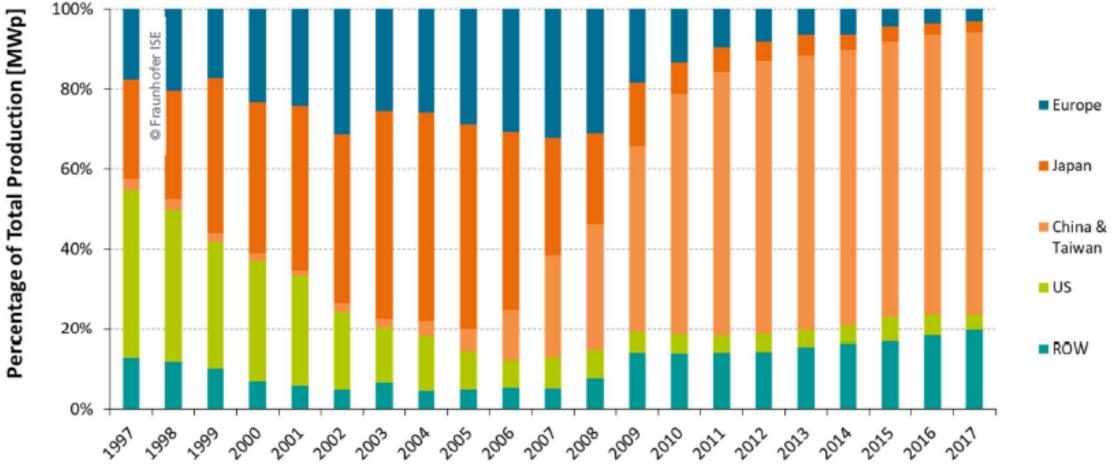
In 2018, World : 500GW installed 650 TWh actually produced (=2.5% of the world production)

France : 8.5 GW installed 10 TWh actually produced (= 2% of the French production)

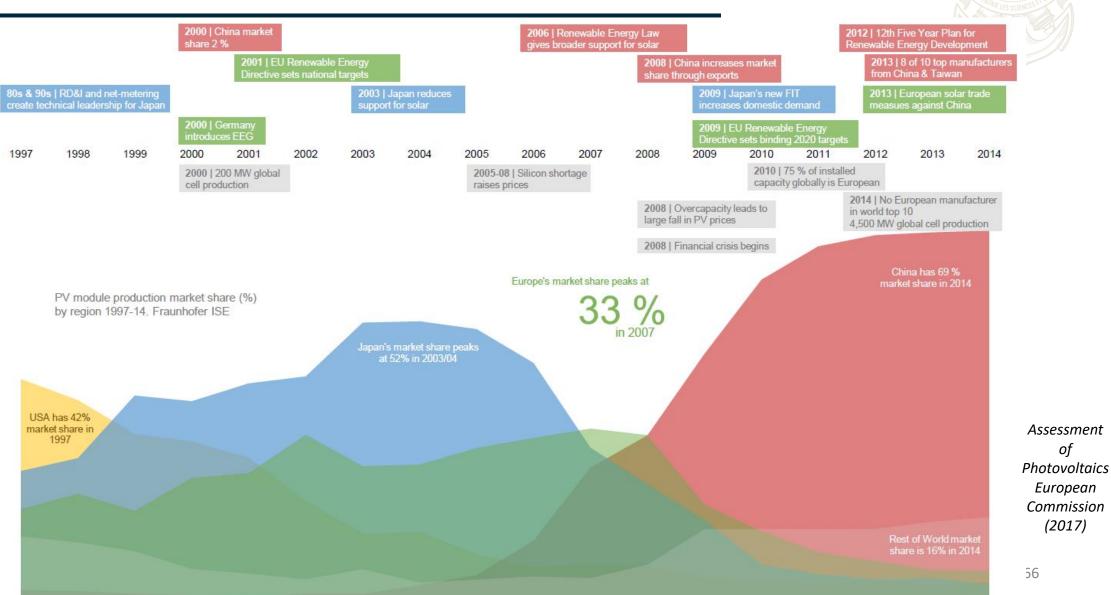
PV distribution



Solar module production



Timeline of PV in Europe



Industrial processes

Scale up (european) production capacities (aiming at TW scale)

Paving technologies → Coating technologies ?

Consider end-of-life at conception stages



Broader applications

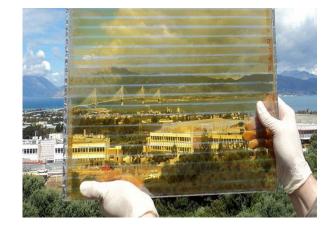
Mechanical properties



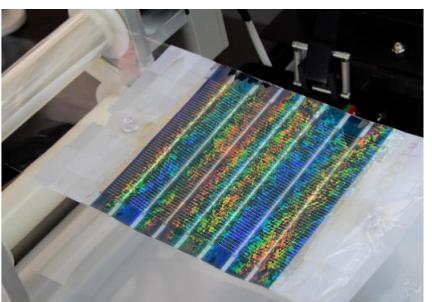




Optical properties



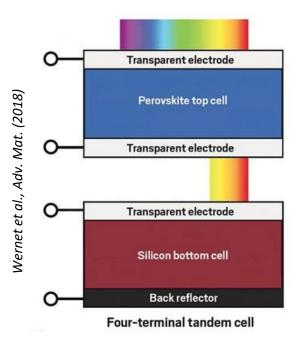




The quest for high efficiencies

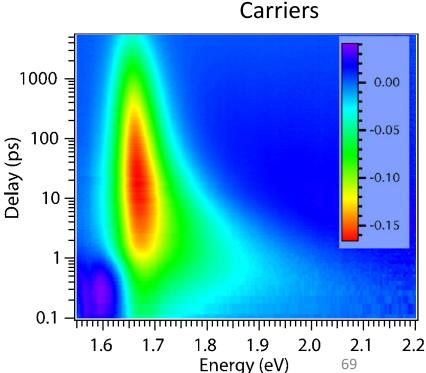
How to overcome the 30% Shockley-Queisser limit and aim at much higher efficiencies?

Architecture



Light





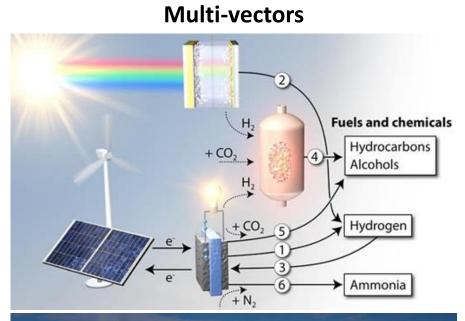
Yang et al., Nat. Photonics. (2016)

Grid integration



Match supply and consumption

Solar \checkmark Chemical (H₂ ...)



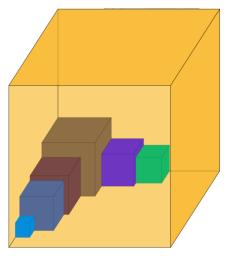




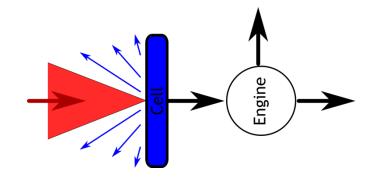
Solar ↓ Thermal

Take home message

Orders of magnitude



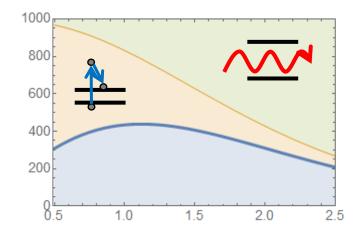
Thermodynamics with radiative heat exchange

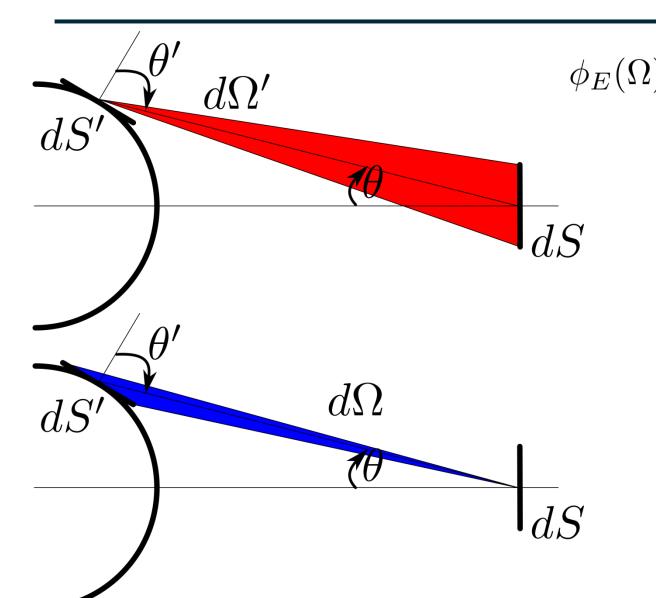


Different ways to convert solar energy



Basic solar cell physics





$$\begin{aligned} dI &= \int dE \phi_E(E, \,\Omega) = \frac{\cos \theta}{\pi} \sigma T^4 \\ dI &= \frac{\cos \theta'}{\pi} \sigma T_{sun}^4 dS' d\Omega' \\ &= \frac{\cos \theta'}{\pi} \sigma T_{sun}^4 dS' \frac{dS \cos \theta}{D^2} \\ &= \frac{\cos \theta}{\pi} \sigma T_{sun}^4 dS \frac{dS' \cos \theta'}{D^2} \\ &= \frac{\cos \theta}{\pi} \sigma T_{sun}^4 dS d\Omega \\ I &= \frac{1}{\pi} \int \cos \theta d\Omega \times \sigma T^4 \\ &= \sin^2 \theta_{sun} \times \sigma T^4 \end{aligned}$$